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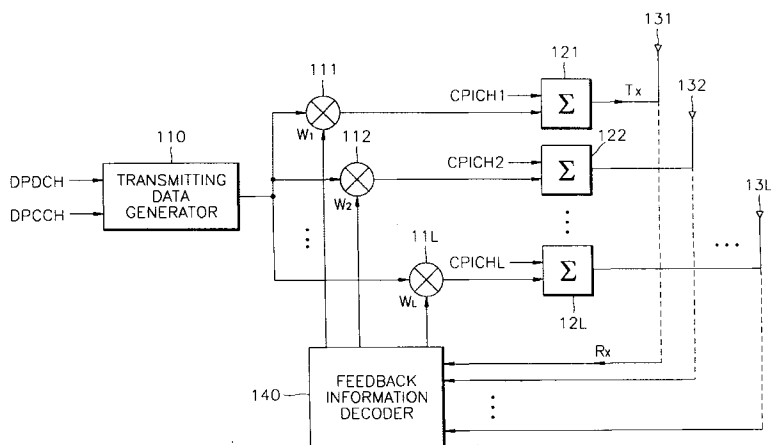
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(54) **Transmission antenna diversity method, base station and mobile station therefor**

(57) A transmission antenna diversity method, and a base station apparatus and a mobile station apparatus therefor in a mobile communication system are provided. In the transmission antenna diversity method, channel information is measured from signals received through the plurality of antennas used in the base station, and a channel information matrix is output. The channel information matrix is transformed according to a transform matrix composed of a complex basis vector

set. Reception power with respect to the plurality of antennas is calculated based on the transformed channel information matrix. Antenna selection information obtained based on the calculated reception power is transmitted to the base station as feedback information for controlling transmission antenna diversity. Therefore, power is equally distributed to transmitting antennas, excellent performance is maintained at a high speed of movement, and reliable channel adaptation is accomplished at a low speed of movement.

**FIG. 1****EP 1 175 022 A2**

## Description

**[0001]** The present invention relates to transmission antenna diversity, and more particularly, to a transmission antenna diversity method, and a base station apparatus and a mobile station apparatus therefor in a mobile communication system.

**[0002]** Third generation mobile communication systems have standards for transmitting data at a higher rate than second generation mobile communication systems represented by personal communication systems (PCS). In Europe and Japan, a synchronous Wideband Code Division Multiple Access (W-CDMA) mode is adopted as a wireless access standard. In North America, an asynchronous CDMA-2000 mode is adopted as a wireless access standard. Mobile communication systems are configured so that many mobile stations can communicate through a single base station.

**[0003]** It is necessary to overcome fading in order to transmit data at a high rate in a mobile communication system. Fading reduces the amplitude of a received signal by several decibels to several tens of decibels. A variety of diversity techniques are used to satisfactorily overcome fading. In a CDMA mode, a Rake receiver using delay spread of a channel is employed. A reception diversity technique of receiving a multi-path signal is applied to a Rake receiver. This reception diversity technique has a problem in that reception diversity does not operate well when delay spread is small. A time diversity technique using interleaving and coding is used in a Doppler spread channel. It is difficult to use this method in a low-speed Doppler channel.

**[0004]** Space diversity is used to overcome fading in an indoor channel having small delay spread and an outdoor channel which is a low-speed Doppler channel. Space diversity uses two or more antennas. In this method, when a signal transmitted through one antenna is reduced due to fading, a signal transmitted through another antenna is used for reception. Space diversity is divided into reception antenna diversity using receiving antennas and transmission antenna diversity using transmitting antennas. It is difficult to install reception antenna diversity in a mobile station because of lack of space and excessive cost, so it is recommended to use transmission antenna diversity in a base station.

**[0005]** Transmission antenna diversity is divided into closed loop transmission diversity of feeding back up-link channel information from a mobile station for operation and open loop transmission diversity not having feedback from a mobile station. When using L antennas, closed loop transmission diversity has L times greater gain than open loop transmission diversity in terms of a Signal to Interference and Noise Ratio (SINR). However, the performance of closed loop transmission diversity of feeding back channel information for operation is influenced by the period of feedback. When the period of feedback is long, a channel may change before feedback information reaches a base station, thereby de-

creasing the performance. When a large amount of information is fed back per unit time in order to follow up a rapidly changing channel, up-link capacity decreases.

**[0006]** In addition, transmission antenna diversity is divided into a maximal ratio combining (MRC) method, an equal gain combining (EGC) method, and a selective combining (SC) method. When a feedback bandwidth is not satisfactorily secured, the performance of the above-described closed loop transmission antenna diversity may be deteriorated because a change in channel information is not reliably reflected in feedback information. Here, in order to make channel information to be rapidly and reliably reflected in feedback information rather than to obtain exact channel information, closed loop transmission antenna diversity employing an SC method is used.

**[0007]** However, when using an SC method, unbalance between antennas occurs. Accordingly, it costs a lot to configure a radio frequency (RF) processor. Therefore, diversity using an SC method for overcoming the above problem and performing diversity using less feedback information is desired.

**[0008]** Although diversity gain can be obtained, SINR gain decreases in diversity using an SC method compared to diversity using an MRC method or an EGC method because channel information is not completely reflected by feedback information. Therefore, an improved diversity method which can maximize SINR gain by compensating for the decrease, can be applied at a high moving speed, and can simplify the hardware configuration of a transceiver is desired.

**[0009]** A transmission antenna diversity method using a feedback mode is disclosed in U.S. Patent Nos. 5,634,199 and 5,471,647. In these patents, measurement of a channel and a feedback method using a perturbation algorithm and a gain matrix are proposed. However, these patents adopt a blind method, which is slow in convergence for measuring a channel and is not effective in finding an exact weight, so the method is not frequently used in systems using a pilot signal.

**[0010]** In Universal Mobile Telecommunication Service (UMTS) W-CDMA (3GPP) standards, Motorola proposes a method of quantizing a weight for each antenna in a feedback mode. In addition, Nokia and others propose a transmission antenna diversity method for high-speed mobile objects operating with respect to two antennas. However, these methods are optimized for a case of using two antennas. Therefore, an improved method of effectively selecting many antennas is desired.

**[0011]** According to a first aspect of the invention there is provided a closed loop transmission antenna diversity method employing a selective combining method, including the steps of (a) measuring channel information from signals received through a plurality of antennas used in a base station and outputting a channel information matrix, (b) transforming the channel information matrix according to a transform matrix com-

posed of a complex basis vector set, (c) calculating reception power with respect to the plurality of antennas based on the transformed channel information matrix, and (d) transmitting antenna selection information obtained based on the calculated reception power to the base station as feedback information for controlling transmission antenna diversity.

**[0012]** In another aspect, there is provided a closed loop transmission antenna diversity method employing a selective combining method, including the steps of (a) receiving selection information related to a complex basis vector from a mobile station as feedback information for controlling transmission antenna diversity; (b) determining a complex basis vector selected based on the selection information; (c) obtaining an antenna weight for each antenna using the determined complex basis vector; and (d) generating a signal based on the antenna weight and transmitting the signal to the mobile station through a corresponding antenna.

**[0013]** The present invention accordingly provides a closed loop transmission antenna diversity method using a selective combining (SC) method, which can overcome an imbalance of power between antennas by providing feedback information for selecting an antenna using a complex basis vector set in extending antenna selection to basis vector selection.

**[0014]** In another aspect of the invention there is provided a base station apparatus including a plurality of antennas for receiving selection information related to a complex basis vector from a mobile station as feedback information for controlling transmission antenna diversity, a feedback information decoder for determining a complex basis vector selected based on the selection information and obtaining an antenna weight for each antenna using the determined complex basis vector, and a data transmitting unit for generating a signal based on the antenna weight and transmitting the signal to the mobile station through a corresponding antenna.

**[0015]** In a further aspect of the invention there is provided a mobile station apparatus including a channel information measuring unit for measuring channel information from signals received through a plurality of antennas used in a base station and outputting a channel information matrix, a basis vector transformer for transforming the channel information matrix according to a transform matrix composed of a complex basis vector set, an optimum weight detector for calculating reception power with respect to the plurality of antennas based on the transformed channel information matrix and generating feedback information for controlling transmission antenna diversity based on the calculated reception power, and an uplink signal processor for transmitting the feedback information to the base station in the form of a symbol configured according to a protocol suitable for feedback.

**[0016]** The present invention accordingly provides a base station apparatus and a mobile station apparatus for performing the above closed loop transmission an-

tenna diversity method using an SC method.

**[0017]** The objects and advantages of the present invention will become more apparent by describing in detail preferred embodiments thereof with reference to the attached drawings in which:

FIG. 1 is a block diagram of a transmitting apparatus for transmission antenna diversity in a wireless communication system;

FIG. 2 is a block diagram of an embodiment of the feedback information decoder shown in FIG. 1;

FIG. 3 is a block diagram of a receiving apparatus for transmission antenna diversity in a wireless communication system;

FIG. 4 is a block diagram of an embodiment of a receiving apparatus for transmission antenna diversity in a wireless communication system;

FIGS. 5A through 5C show examples of a basis vector set on a real axis, a basis vector set on an imaginary axis, and a complex basis vector set obtained by combining the two real and imaginary basis vector sets;

FIG. 6 shows the mapping relation between a basis vector and feedback information at each slot number; and

FIG. 7 shows parameters and their values used for transmission antenna diversity employing a selection method using complex basis vectors when four antennas are used.

**[0018]** The operating principle of the present invention will now be briefly described. The present invention employs a selective combining (SC) method for closed loop transmission antenna diversity in a wireless transmit-receive system. In the case of transmission antenna diversity in which a transmitter transmits a signal through a plurality of antennas, the SC method simplifies hardware configuration. However, due to the imbalance of power between antennas, cost for configuring a radio frequency (RF) processor increases. To overcome this problem, selection of an antenna is extended to selection of a basis vector. In selection of a basis vector, by using an equal power balanced basis vector allowing antennas to have the same power, the imbalance of power between antennas can be overcome even if diversity employing an SC method is performed.

**[0019]** A basis vector set,  $\{ [1 \ 0 \ 0 \ 0], [0 \ 1 \ 0 \ 0], [0 \ 0 \ 1 \ 0], [0 \ 0 \ 0 \ 1] \}$ , may be used to select an antenna in a receiver. This set is an unequal power balanced basis vector set. Equal power balanced basis vector sets are a Walsh basis vector set,  $\{ [1 \ 1 \ 1 \ 1], [1 \ -1 \ 1 \ -1], [1 \ 1 \ -1 \ -1], [1 \ -1 \ -1 \ 1] \}$ , and a polar basis vector set,  $\{ [-1 \ 1 \ 1 \ 1], [1 \ -1 \ 1 \ 1], [1 \ 1 \ -1 \ 1], [1 \ 1 \ 1 \ -1] \}$ .

**[0020]** These basis vector sets used for an SC method are composed of the same constants so that the inner product of different vectors is 0, and the inner product of the same vectors is not 0. When these basis vector sets are used to obtain weights for antennas, vectors

are equalized so that the constant can be 1 in order not to change transmission power. Such an equalized set is referred to as an orthonormal basis vector set.

**[0021]** For reference, since a method of using an equal power balanced orthonormal basis vector set in reception antenna diversity comes under diversity based on an SC method, the performance of the method is the same as that of a method using an unequal power balanced orthonormal basis vector set. When it is assumed that diversity information is ideally fed back, both methods have the same performance in transmission antenna diversity, with the exception that power is uniform among transmitting antennas in the method of using an equal power balanced orthonormal basis vector set.

**[0022]** In closed loop transmission antenna diversity configured so that diversity information is fed back from a mobile station, transmission of feedback information is delayed as the moving speed of a mobile station increases because the bandwidth of a feedback channel is limited. This delay decreases diversity gain. As compared to an SC method, a maximal ratio combining (MRC) method or an equal gain combining (EGC) method has a large amount of feedback information so that accurate channel compensation can be performed at a low moving speed, thereby increasing the performance, but the performance rapidly decreases as the moving speed of the mobile station increases.

**[0023]** The present invention provides a complex basis vector selection method for improving the performance of diversity using an SC method at a low moving speed and maintaining the performance as the moving speed increases. A complex basis vector set is composed of different orthonormal sets assigned to the real axis and the imaginary axis of the complex plane. For example, a Walsh basis vector set is assigned to a real axis, and a polar basis vector set is assigned to an imaginary axis. When four antennas are used, a complex basis vector set composed of 16 vector combinations is obtained. Selecting one complex basis vector from the complex basis vector set is determining an antenna to be given a weight among a plurality of antennas.

**[0024]** When information related to selection of a complex basis vector is fed back to a base station from a mobile station, vector information as to a real axis and vector information as to an imaginary axis is alternately transmitted at feedback signalling intervals. The base station sums information received during two consecutive feedback signalling intervals by way of sliding window and forms a complex basis vector. For example, when feedback information is transmitted in order of real axis information and imaginary axis information, one basis vector is constituted by the first real axis information and the second imaginary axis information, and then the next basis vector is constituted by the second imaginary axis information and the third real axis information, thereby forming a complex basis vector by way of sliding window. Each factor of the complex basis vector is used

as a weight for each antenna. By configuring transmission antenna diversity as described above, optimum feedback information can be used at feedback signalling intervals. Since an optimum weight can be used at feedback signalling intervals, excellent characteristics are maintained at a high moving speed, and the performance is improved at a low moving speed as the resolution of the complex basis vector is increased to 1/16. Furthermore, the complex basis vector set is formed to equalize power among antennas, thereby preventing an imbalance of power among the antennas.

**[0025]** Hereinafter, the configurations and operations of a transmitting apparatus and a receiving apparatus according to the present invention will be specifically described. FIG. 1 is a block diagram of a transmitting apparatus for transmission antenna diversity in a wireless communication system. The transmitting apparatus corresponds to a base station in a mobile communication system and can be referred to as a UMTS (Universal Mobile Telecommunication Service) Terrestrial Radio Access Network (UTRAN).

**[0026]** Referring to FIG. 1, the transmitting apparatus includes a transmitting data generator 100, L (two or more) multipliers 111 through 11L, L adders 121 through 12L, L antennas 131 through 13L, and a feedback information decoder 140. The transmitting data generator 100 generates and outputs data to be transmitted to the L multipliers 111 through 11L. Specifically, the transmitting data generator 100 receives, for example, a signal DPDCH of a dedicated physical data channel and a signal DPCCCH of a dedicated physical control channel, and multiplexes these signals to generate and output transmitting data.

**[0027]** The L multipliers 111 through 11L multiply the data output from the transmitting data generator 100 by weights  $w_1$  through  $w_L$  corresponding to the respective antennas 131 through 13L. The L adders 121 through 12L add the pilot signals CPICH1 through CPICHL corresponding to the respective antennas 131 through 13L to the outputs of the corresponding L multipliers 111 through 11L, respectively. The signals generated by the L adders 121 through 12L are forwarded through the corresponding L antennas 131 through 13L, respectively, via a radio frequency (RF) signal processor (not shown).

**[0028]** Here, the antenna weights  $w_1$  through  $w_L$  are obtained through the operation of the feedback information decoder 140 analyzing feedback information received through the L antennas 131 through 13L. Feedback information is uplinked from a receiving apparatus (that is, an arbitrary i-th mobile station). Practically, the L antennas 131 through 13L receive as feedback information an index indicating one element (i.e., one complex basis vector) of a complex basis vector set. This will be described in detail later.

**[0029]** The feedback information decoder 140 selects a complex basis vector corresponding to the index received as feedback information. Factors of the selected

complex basis vector are output as weights corresponding to the respective L antennas 131 through 13L.

**[0030]** FIG. 2 is a block diagram of an embodiment of the feedback information decoder 140 shown in FIG. 1. The feedback information decoder 140 includes a switching unit 200, a feedback signaling message (FSM) register 210, first and second weight tables 222 and 224, and an adder 230.

**[0031]** The switching unit 200 operates according to whether the slot number of a received signal is even or odd so that feedback information can be stored in a real number portion of the FSM register 210 when the slot number is even and in an imaginary number portion of the FSM register 210 when the slot number is odd. Here, the feedback information is an index indicating one basis vector in a first orthonormal basis vector set indicating a real part or an index indicating one basis vector in a second orthonormal basis vector set indicating an imaginary part. When each basis vector set is composed of four basis vectors, a feedback signaling vector  $[m_{b,1}, m_{b,2}]^T$  transmitted from a mobile station to a base station is represented by 2-bit binary data expressing an index indicating a basis vector.

**[0032]** The FSM register 210 outputs an index  $i_w$ , which will be input to the first weight table (i.e., a look-up table) 222, using feedback information (e.g., an index represented by two bits) stored in the real number portion, and an index  $i_p$ , which will be input to the second weight table 224, using feedback information (e.g., an index represented by two bits) stored in the imaginary number portion.

**[0033]** The first weight table 222 outputs a basis vector  $b_w$  on a real axis corresponding to the index  $i_w$ , and the second weight table 224 outputs a basis vector  $b_p$  on an imaginary axis corresponding to the index  $i_p$ . In the first weight table 222, each element of a Walsh basis vector set is assigned an index (see FIG. 5A). In the second weight table 224, each element of a polar basis vector set is assigned an index (see FIG. 5B). The adder 230 sums the real basis vector  $b_w$  and the imaginary basis vector  $jb_p$  and outputs an antenna weight vector  $[w_1, w_2, \dots, w_L]$ .

**[0034]** Briefly, the feedback information decoder 140 according to an embodiment of the present invention alternately stores feedback information in the real number portion and the imaginary number portion at feedback signaling intervals, sums the feedback information by way of sliding window, and obtains the antenna weight vector  $[w_1, w_2, \dots, w_L]$  based on the summed feedback information.

**[0035]** FIG. 3 is a block diagram of a receiving apparatus for transmission antenna diversity in a wireless communication system. Particularly, FIG. 3 shows an antenna weight measuring apparatus for measuring an antenna weight in the receiving apparatus.

**[0036]** Referring to FIG. 3, the receiving apparatus includes an antenna 300, a transmission antenna diversity channel information measuring unit 310, a basis

vector transformer 320, an optimum weight detector 330, a feedback information uplink signal processor 340, and a data receiving processor 350. The data receiving processor 350 usually decodes a signal received through the antenna 300 and restores transmitting data.

**[0037]** The transmission antenna diversity channel information measuring unit 310 measures channel information from a signal received through the antenna 300 and outputs the result of measurement in the form of a matrix. The output channel information matrix is composed of  $L \times M$  elements. "L" denotes the number of antennas, and "M" denotes the number of multi-path channels for each antenna. According to the description of FIG. 1, a transmitting apparatus transmits different pilot signals for discriminating antennas from one another to a receiving apparatus. The receiving apparatus measures each channel signal using a unique pilot signal corresponding to each of multiple antennas.

**[0038]** The basis vector transformer 320 transforms the channel information matrix output from the transmission antenna diversity channel information measuring unit 310 using a transform matrix composed of a complex basis vector set. The optimum weight detector 330 detects an element (i.e., a weight for maximizing a receiving SINR) of the complex basis vector set at which reception power with respect to the multiple antennas is maximum, using the transformed channel information matrix.

**[0039]** The feedback information uplink signal processor 340 transmits the result of detection as feedback information for controlling transmission antenna diversity to the transmitting apparatus through the antenna 300. Here, the feedback information uplink signal processor 340 makes the feedback information into a symbol according to a protocol suitable for feedback before transmitting the feedback information.

**[0040]** In a transmission antenna diversity method employing an SC method, it is essential to overcoming conventional problems to select an antenna having an optimum antenna weight among a plurality of transmitting antennas and to determine a form in which the optimum antenna weight is transmitted to the base station. Bearing this in mind, the operations of a mobile station will be described in detail. A mobile station (referred to as User Equipment (UE)) measures an optimum antenna weight from received channel information and feeds the result of measurement as feedback information back to a base station (referred to as UTRAN). Several embodiments will be described below.

**[0041]** In a first embodiment, a basis vector with respect to which maximum power is received is obtained using an orthonormal basis vector set and an index corresponding to the basis vector is fed back.

1) A channel information matrix  $H_{BW}$  obtained by transforming a receiving channel information matrix  $H$  using a transform matrix  $B_w$  composed of an or-

thonormal basis vector set is calculated as follows.

$$H_{BW} = HB_w$$

Here,  $H = [h_1 \ h_2 \ h_3 \ h_4]$ ,  $B_w = [b_w(0) \ b_w(1) \ b_w(2) \ b_w(3)]$ ,  $h_1$  is a column vector composed of multi-path channels transmitted from a first antenna, and  $b_w(i)$  is a basis vector corresponding to an  $i$ -th index in the basis vector set. In the case of a binary system, the amount of calculation can be reduced by using a Hadamard matrix transform. In the other cases, the performance can be increased by using a high-speed algorithm suitable for the characteristics of each transform matrix.

2) A norm of each column vector constituting the channel information matrix  $H_{BW}$  is obtained. The values of norms are the value of receiving power measured with respect to the receiving channel information matrix  $H$ . The index of a basis vector corresponding to the maximum value among these values is the index of an orthonormal basis vector constituting an optimum weight.

3) The obtained index information is fed back to a base station (UTRAN). The above steps are repeated at each slot.

**[0042]** For example, when four transmitting antennas are used, 16 combinations of a complex basis vector are obtained from two basis vector sets. The above steps are performed on each complex basis vector, a complex basis vector showing maximum power is obtained, and the index of the complex basis vector is transmitted to the base station.

**[0043]** In a second embodiment,  $S$  vectors among  $M$  orthonormal basis vectors are used.

1) For example, 4 orthonormal basis vectors exist with respect to 4 antennas. Accordingly,  $S$  is one of 1 to 4. The values of  $M$  and  $S$  are stored.

2) Antenna selection weights  $w_{b,i}$  are prepared from the result of step 1). The antenna selection weights  $w_{b,i}$  are obtained by transforming antenna weights  $w_i$  used in a base station using a transform matrix  $B_w$  according to  $w_{b,i} = B_w w_i$ . The antenna selection weights  $w_{b,i}$  for antennas other than a selected antenna are 0.

3) A measured reception power  $P_i = w_{b,i}^H H_{BW} H_{BW}^H w_{b,i}$  is calculated using the antenna selection weight  $w_{b,i}$  and a channel information matrix  $H_{BW}$ . Here, the channel information matrix  $H_{BW}$  is obtained by transforming a receiving channel information matrix  $H$  using a transform matrix  $B_w$  composed of an orthonormal basis vector set.

4) Steps 2) and 3) are repeated for  ${}_M C_S$  cases, which is the number of cases in which  $S$  vectors can be selected from  $M$  basis vectors,  ${}_M C_S = M! / \{(M-S)!\}$ .

5) An antenna selection weight  $w_{b,i}$  maximizing  $P_i$  in step 3) is selected.

6) The antenna selection weight  $w_{b,i}$  obtained in step 5) is used as approximated feedback information.

**[0044]** For example, when four transmitting antenna are used, the number of combinations of a complex basis vector obtained from two basis vector sets is 16. When two antennas are selected according to an SC method, weights and power are obtained with respect to  ${}_{16}C_2 (=120)$  vector combinations, and then a combination of a basis vector maximizing the power is obtained. Antenna selection information and phase information as to the relative phase difference between the antennas may be included in feedback information.

**[0045]** In a third embodiment, a complex basis vector set is used, and the amount of feedback information is minimized. Here, a case of using four transmitting antennas will be explained as an example.

1) A channel information matrix  $H_{BW}$  obtained by transforming a receiving channel information matrix  $H$  using a transform matrix  $B_w$  composed of a Walsh basis vector set is calculated according to  $H_{BW} = HB_w$ . A channel information matrix  $H_{BP}$  obtained by transforming the receiving channel information matrix  $H$  using a transform matrix  $B_p$  composed of a polar basis vector set is calculated according to  $H_{BP} = HB_p$ . Here,  $H = [h_1 \ h_2 \ h_3 \ h_4]$ ,  $B_w = [b_w(0) \ b_w(1) \ b_w(2) \ b_w(3)]$ ,  $B_p = [b_p(0) \ b_p(1) \ b_p(2) \ b_p(3)]$ ,  $h_1$  is a column vector composed of multi-path channels transmitted from a first antenna,  $b_w(i)$  is a basis vector corresponding to an  $i$ -th index in the Walsh basis vector set, and  $b_p(i)$  is a basis vector corresponding to an  $i$ -th index in the polar basis vector set.

2) It is assumed that  $H_{BW}(i)$  indicates an  $i$ -th column vector in the matrix  $H_{BW}$ , and  $H_{BP}(j)$  indicates a  $j$ -th column vector in the matrix  $H_{BP}$ . From this, a measured reception power  $P_k(i,j) = \|H_{BW}(i) + jH_{BP}(j)\|^2$  is obtained when  $k = 0, 1, 2, \dots, 15$ . Here,  $l = 0, 1, 2, 3$  and  $j = 0, 1, 2, 3$ .

3) Feedback information is generated based on  $k$ ,  $i$ , and  $j$  which maximize the measured reception power. The above steps are repeated at each slot.

**[0046]** FIG. 4 is a block diagram of an embodiment of a receiving apparatus for transmission antenna diversity. The above third embodiment will be described with reference to FIG. 4. A receiving apparatus includes an antenna 400, a transmission antenna diversity channel information measuring unit 410, a basis vector transformer 420 having a Walsh basis vector transformer 422 and a polar basis vector transformer 424, an optimum weight detector 430 having first and second column adders 432 and 434, a combiner 436, a power calculator

438 and a maximum value detector 440, a feedback information uplink signal processor 450, and a data receiving processor 460. The data receiving processor 460 decodes a signal received through the antenna 400 and restores transmitting data.

**[0047]** The transmission antenna diversity channel information measuring unit 410 measures channel information from a signal received through the antenna 400 and outputs the result of measurement in the form of a matrix. The output channel information matrix  $H$  is composed of  $L \times M$  elements. "L" denotes the number of antennas, and "M" denotes the number of multi-path channels for each antenna.

The Walsh basis vector transformer 422 transforms the channel information matrix  $H$  using a transform matrix composed of a Walsh complex basis vector set. The polar basis vector transformer 424 transforms the channel information matrix  $H$  using a transform matrix composed of a polar complex basis vector set.

**[0048]** The first column adder 432 sums the elements in all columns in each row in a matrix  $H_{BW}$  output from the Walsh basis vector transformer 422 and outputs a row vector  $h_{BW}(i)$  expressed by

$$h_{BW}(i) = H_{BW}(i) \cdot 1_M.$$

Here,  $1_M$  denotes a column vector whose length is  $M$  and whose elements are all 1.

**[0049]** The second column adder 434 sums the elements in all columns in each row in a matrix  $H_{BP}$  output from the polar basis vector transformer 424 and outputs a row vector  $h_{BP}(j)$  expressed by

$$h_{BP}(j) = H_{BP}(j) \cdot 1_M.$$

**[0050]** The combiner 436 combines each of the row vectors  $h_{BW}$  with each of the row vectors  $h_{BP}$  and outputs a matrix  $H_B$ .

$$H_B(i, j) = h_{BW}(i) + j h_{BP}(j).$$

Here,  $i = 1, 2, \dots, L$  and  $j = 1, 2, \dots, L$ .

**[0051]** The power calculator 438 calculates the square of the modulus of each element of the combined matrix  $H_B$  and outputs a power matrix  $P_B$ .

$$P_B(i, j) = |H_B(i, j)|^2$$

Here,  $i = 1, 2, \dots, L$  and  $j = 1, 2, \dots, L$ .

**[0052]** The maximum value detector 440 detects a maximum value from the power  $P_B(i, j)$  with respect to each element and outputs an index  $(i_{\max}, j_{\max})$  of an element corresponding to the maximum value.

$$(i_{\max}, j_{\max}) = \arg \max P_B(i, j)$$

**[0053]** The feedback information uplink signal processor 450 makes the index  $(i_{\max}, j_{\max})$  to be transmitted to a transmitting apparatus into a symbol configured according to a protocol suitable for feedback and transmits the symbol through the antenna 400.

**[0054]** In a transmission antenna diversity method, as described above, a mobile station finds an optimum antenna weight through measurement of a channel. Here, a base station is required to send pilot signals discriminating antennas from one another for allowing the base station to measure a channel. To send different pilot signals for different antennas, a time division method, a frequency division method, or a code division method can be used. In the case of a Wideband Code Division Multiple Access (W-CDMA) standard, a method of using a multi-scrambling code, a multi-channelization code, or a multi-orthogonal pilot symbol pattern can be used to discriminate antennas from one another through pilot signals.

**[0055]** When two or more antennas are selected in a selection method, for efficient transmission, feedback information is transmitted in order of selection information and phase information from a mobile station to a base station. In other words, bit data corresponding to the selection information is sent first to allow relevant basis vectors to be selected, and then the phase information indicating the relation between basis vectors is sent. In the case of a protocol configured in frame unit, the selection information can be sent only in the first slot of a frame taking into account the property of a wireless fading environment in which the selection information scarcely changes but the phase information frequently changes. Here, when the selection information sent through the first slot of a frame is lost, this can influence the entire frame. Accordingly, it is preferable to perform error correction coding on selection information data before transmission. Besides such a case, the selection information and phase information can be selectively or both error correction coded before transmission.

**[0056]** When feedback information is transmitted in a plurality of slots for transmission from a mobile station (UE), the feedback information can be transmitted by way of progressively refining channel information every slot. In other words, only a portion of current data which is different from data previously fed back is transmitted. When the moving speed of the mobile station is high, the state of a channel may change while feedback information is fed back during an interval of a plurality of slots so that the state of a channel may not match the feedback information. In such conditions, the progressive refining method is effective. When simultaneously transmitting antenna selection information and phase information, it is preferable not to use a progressively refining mode of partially changing an FSM in order to prevent errors in the antenna selection information. Al-

though the entire antenna selection information and phase information fed back is not progressively refined, only the phase information can be conditionally refined according to the antenna selection information to improve the performance.

**[0057]** In the case of selecting three basis vectors from among four basis vectors to perform coherent phase correction in a four-transmission antenna diversity system, offset between selected antennas makes the imbalance of power among the antennas worse when the phase correction values of the selected antennas are the same. To overcome this problem, a first basis vector is weighted by  $1/2$ , a second basis vector is weighted by one of  $\exp(j\pi/2+\pi/4)$ ,  $\exp(j*2\pi/2+\pi/4)$ ,  $\exp(j*3\pi/2+\pi/4)$ , and  $\exp(j*4\pi/2+\pi/4)$ , and a last basis vector is weighted by one of  $\exp(j\pi/2+\pi/8)$ ,  $\exp(j*2\pi/2+\pi/8)$ ,  $\exp(j*3\pi/2+\pi/8)$ , and  $\exp(j*4\pi/2+\pi/8)$ . Here, the number of cases of possible phases of each antenna is 4. Even when the number of antennas increases, the imbalance of power among the antennas can be minimized by rotating the constellation of phase correction values, which are multiplied by respective basis vectors, by a predetermined degree.

**[0058]** A transmitting apparatus and a receiving apparatus according to the present invention in a transmission antenna diversity system was described with reference to the attached drawings. As described above, a complex basis vector set is used for transmitting an optimum weight in a preferred embodiment of the present invention. Hereinafter, a case using a complex basis vector set will be described in detail.

**[0059]** A mobile station (UE) calculates an antenna weight to be applied to an access point of a transmitting antenna of a base station (UTRAN) in order to maximize reception power. For example, common pilot channels (CPICH) transmitted from four transmitting antennas are used for the calculation (see FIG. 1). When four transmitting antennas are used, an antenna weight is one complex basis vector included in a set of 16 complex basis vectors and is determined according to diversity employing an SC method. A real axis and an imaginary axis in a complex basis vector are composed of different orthonormal basis vectors. FIGS. 5A through 5C show examples of a basis vector set on the real axis, a basis vector set on the imaginary axis, and a complex basis vector set obtained by combining the two basis vector sets.

**[0060]** For each slot, the mobile station (UE) calculates an index corresponding to an optimum weight, that is, an index  $I$  corresponding to one complex basis vector selected from among the 16 complex basis vectors. Since basis vectors are alternately transmitted such that a real basis vector is transmitted in one slot and an imaginary basis vector is transmitted in the next slot, the index  $I$  transmitted at a time is one of numerals 0, 1, 2, 3, and 4 and is represented by EN-bit data. When an index is represented by a binary value  $I_{bin}$ , the relation between the binary value and  $I$  is expressed by

$$I_{bin} = \begin{cases} 00_{(2)}, & \text{if } I = 0 \\ 01_{(2)}, & \text{if } I = 1 \\ 10_{(2)}, & \text{if } I = 2 \\ 11_{(2)}, & \text{if } I = 3 \end{cases}$$

**[0061]** Here,  $I$  is a value used as an index value in FIGS. 5A and 5B showing the lists of orthonormal basis vectors. Each binary value  $I_{bin}$  is sequentially transmitted to the base station (UTRAN) through an FSM field. When  $I_{bin} = 00_{(2)}$ , 0 is sent as a most significant bit (MSB) and as a least significant bit (LSB). When  $I_{bin} = 01_{(2)}$ , 0 is sent as an MSB and 1 is sent as an LSB. When  $I_{bin} = 10_{(2)}$ , 1 is sent as an MSB and 0 is sent as an LSB. When  $I_{bin} = 11_{(2)}$ , 1 is sent as an MSB and as an LSB.

Two-bit data is transmitted for a single slot time.

**[0062]** The base station (UTRAN) analyzes received feedback information according to FIG. 6. FIG. 6 shows the mapping relation between basis vectors  $b_w$  and  $b_p$  and the received feedback information (FSM) at each slot number. In FIG. 6,  $b_w(i)$  is a vector corresponding to an  $i$ -th index in FIG. 5A, and  $b_p(i)$  is a vector corresponding to an  $i$ -th index in FIG. 5B.

**[0063]** An antenna weight (a vector  $w = w_{re} + jw_{im}$ ) calculated by the feedback information decoder 140 of the base station shown in FIG. 1 is a sliding window average of basis vectors received for the interval of two consecutive slots. The vector " $w$ " is expressed by the following equation according to an algorithm.

$$\underline{w}(n) = \underline{w}_{re}(n) + j\underline{w}_{im}(n)$$

Here,  $\underline{w}_{re}(n) = \underline{b}_w(2L - n/2J)$ , and  $\underline{w}_{im}(n) = \underline{b}_p(2L - (n - 1)/2J)$ .

**[0064]** FIG. 7 shows parameters and their values used for transmission antenna diversity employing a selection method using complex basis vectors when four antennas are used. In FIG. 7, in the case of a wireless protocol configured in a frame-slot structure as in the UMTS W-CDMA standard, a duration time in a slot is the time length of a single slot. The number of basis sets for basis rotation is the number of basis sets which are used. One basis vector set is used for a real axis, and another basis vector set is used for an imaginary axis. A feedback command length in slots is the number of slots occupied by a single command (information) to be used for determination of a weight. The number of selection index bits per signaling word is the number of bits necessary for representing selection information and is two when the number of antennas is four. The number of feedback information bits per slot is the number of bits of feedback information in a single slot. A feedback command update rate is an interval at which



feedback information is updated in a register of the base station. A feedback bit rate is information as to how many bits are fed back per second.

**[0065]** As described above, the present invention allows power to be equally distributed to transmitting antennas and maintains excellent performance at a high speed of movement, thereby minimizing the cost of configuring an RF processor. Particularly, by using information received in two consecutive slots, the present invention can be more reliably adapted to a channel at a low speed of movement. In addition, as for an extended selective combining method of selecting a plurality of antennas and coherently combining them, the present invention provides methods for improving the performance, thereby optimizing the performance. Accordingly, according to the present invention, hardware can be configured at a low cost, performance is excellent at a high speed of movement, and reliable channel adaptation can be accomplished at a low speed of movement, thereby maximizing channel capacity and link performance in a wireless mobile communication environment. The present invention can be applied to mobile communication systems such as CDMA-2000 systems and UMTS systems using a CDMA mode.

## Claims

1. A closed loop transmission antenna diversity method employing a selective combining method when a plurality of antennas are used in a base station of a mobile communication system, the closed loop transmission antenna diversity method comprising the steps of:
  - (a) measuring channel information from signals received through the plurality of antennas used in the base station and outputting a channel information matrix;
  - (b) transforming the channel information matrix according to a transform matrix composed of a complex basis vector set;
  - (c) calculating reception power with respect to the plurality of antennas based on the transformed channel information matrix; and
  - (d) transmitting antenna selection information obtained based on the calculated reception power to the base station as feedback information for controlling transmission antenna diversity.
2. The closed loop transmission antenna diversity method of claim 1, wherein the step (a) comprises measuring channel information using pilot signals set differently for the plurality of antennas.
3. The closed loop transmission antenna diversity method of claim 1 or 2, wherein the step (b) com-

prises the sub steps of:

- (b1) calculating a first transformed channel information matrix from the channel information matrix using a transform matrix composed of a first basis vector set; and
  - (b2) calculating a second transformed channel information matrix from the channel information matrix using a transform matrix composed of a second basis vector set, and
- the step (c) comprises the sub steps of:
- (c1) calculating reception power based on the first and second transformed channel information matrices; and
  - (c2) detecting an element maximizing the reception power in the complex basis vector set.

4. The closed loop transmission antenna diversity method of claim 3, wherein the first and second basis vector sets are a Walsh basis vector set and a polar basis vector set, respectively.
5. The closed loop transmission antenna diversity method of any preceding claim, wherein the step (d) comprises alternately transmitting two indexes corresponding to a real part and an imaginary part, respectively, of a complex basis vector at feedback signaling intervals when an index corresponding to a basis vector included in the complex basis vector set is transmitted as the feedback information.
6. The closed loop transmission antenna diversity method of any preceding claim, wherein in the step (d) the feedback information comprises antenna selection information and phase information indicating a phase difference between antennas.
7. A closed loop transmission antenna diversity method employing a selective combining method, comprising the steps of:
  - (a) receiving selection information related to a complex basis vector from a mobile station as feedback information for controlling transmission antenna diversity;
  - (b) determining a complex basis vector selected based on the selection information;
  - (c) obtaining an antenna weight for each antenna using the determined complex basis vector; and
  - (d) generating a signal based on the antenna weight and transmitting the signal to the mobile station through a corresponding antenna.
8. The closed loop transmission antenna diversity method of claim 7, wherein the step (b) comprises the sub steps of:

- (b1) receiving an index corresponding to an element of a complex basis vector set as the feedback information; and  
 (b2) selecting a complex basis vector corresponding to the index received in step (b1) by referring to a weight table in which an index is assigned to each element of a complex basis vector set composed of all combinations of first and second basis vector sets.
9. The closed loop transmission antenna diversity method of claim 7 or 8, wherein the step (a) comprises separately receiving as the feedback information the real part and imaginary part of an index corresponding to an element of a complex basis vector set for two feedback signaling intervals, and combining the real part and the imaginary part by way of sliding window.
10. The closed loop transmission antenna diversity method of claim 8, wherein the first and second basis vector sets are a Walsh basis vector set and a polar basis vector set, respectively.
11. A base station apparatus for a closed loop transmission antenna diversity method employing a selective combining method when a plurality of antennas are used in a mobile communication system, the base station apparatus comprising:
- a plurality of antennas for receiving selection information related to a complex basis vector from a mobile station as feedback information for controlling transmission antenna diversity;
  - a feedback information decoder for determining a complex basis vector selected based on the selection information and obtaining an antenna weight for each antenna using the determined complex basis vector; and
  - a data transmitting unit for generating a signal based on the antenna weight and transmitting the signal to the mobile station through a corresponding antenna.
12. A mobile station apparatus for a closed loop transmission antenna diversity method employing a selective combining method when a plurality of antennas are used in a base station of a mobile communication system, the mobile station apparatus comprising:
- a channel information measuring unit for measuring channel information from signals received through the plurality of antennas used in the base station and outputting a channel information matrix;
  - a basis vector transformer for transforming the channel information matrix according to a transform matrix composed of a complex basis vector set;
  - an optimum weight detector for calculating reception power with respect to the plurality of antennas based on the transformed channel information matrix and generating feedback information for controlling transmission antenna diversity based on the calculated reception power; and
  - an uplink signal processor for transmitting the feedback information to the base station in the form of a symbol configured according to a protocol suitable for feedback.
13. The mobile station apparatus of claim 12, wherein the basis vector transformer comprises:
- a Walsh basis vector transformer for transforming the channel information matrix using a transform matrix composed of a Walsh basis vector set; and
  - a polar basis vector transformer for transforming the channel information matrix using a transform matrix composed of a polar basis vector set.
14. The mobile station apparatus of claim 12 or 13, wherein the optimum weight detector comprises:
- first and second column adders each for adding elements in all columns in each row in the transformed channel information matrix and outputting a row vector;
  - a combiner for combining the outputs of the first and second column adders in all possible cases and outputting a combination matrix;
  - a power calculator for calculating power with respect to each element of the combination matrix; and
  - a maximum value detector for detecting a maximum value of the power with respect to each element and outputting an index of an element corresponding to the maximum value.
15. The mobile station apparatus of any of claims 12 to 14, wherein the uplink signal processor transmits antenna selection information and phase information as the feedback information.

FIG. 1

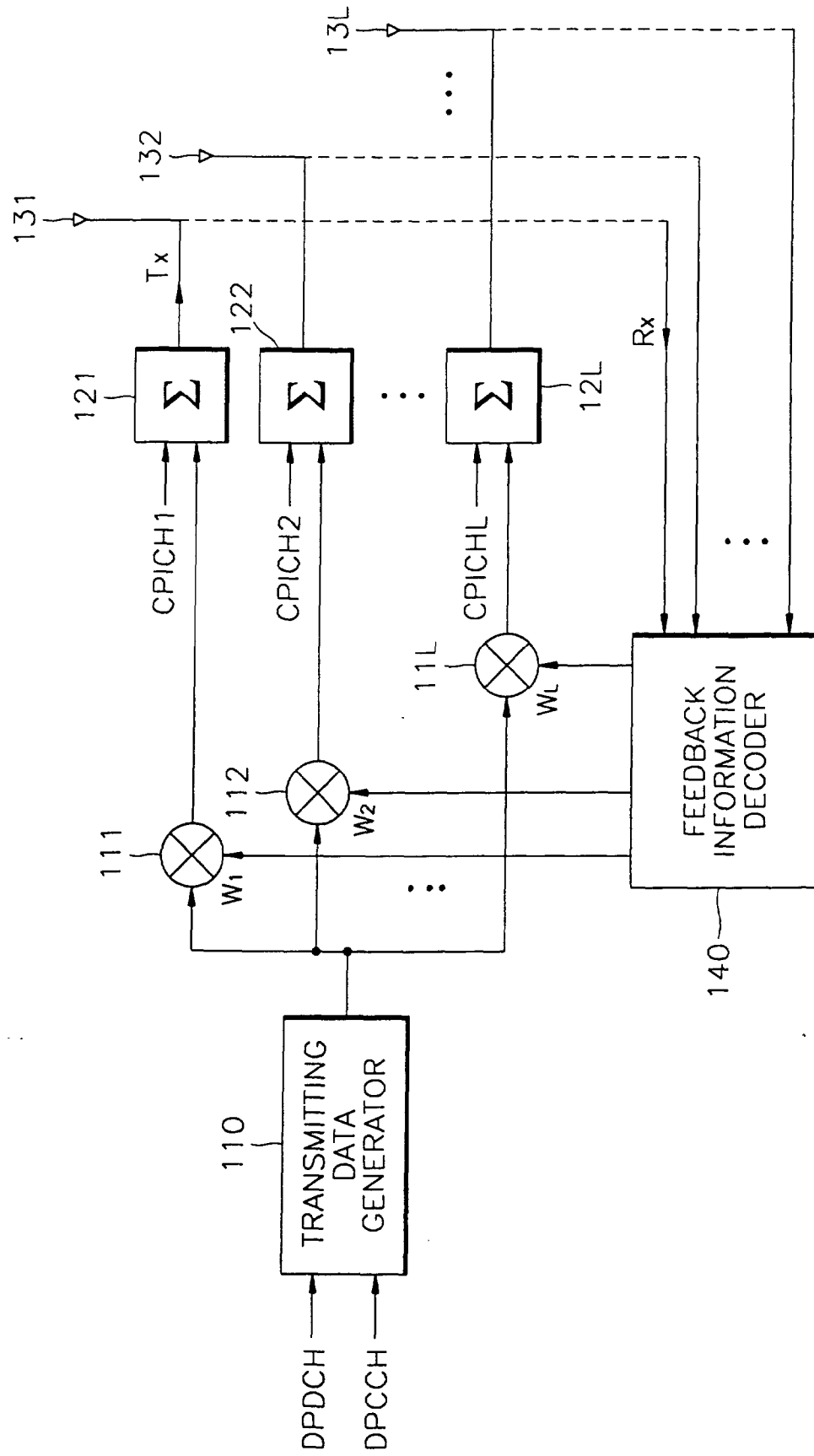


FIG. 2

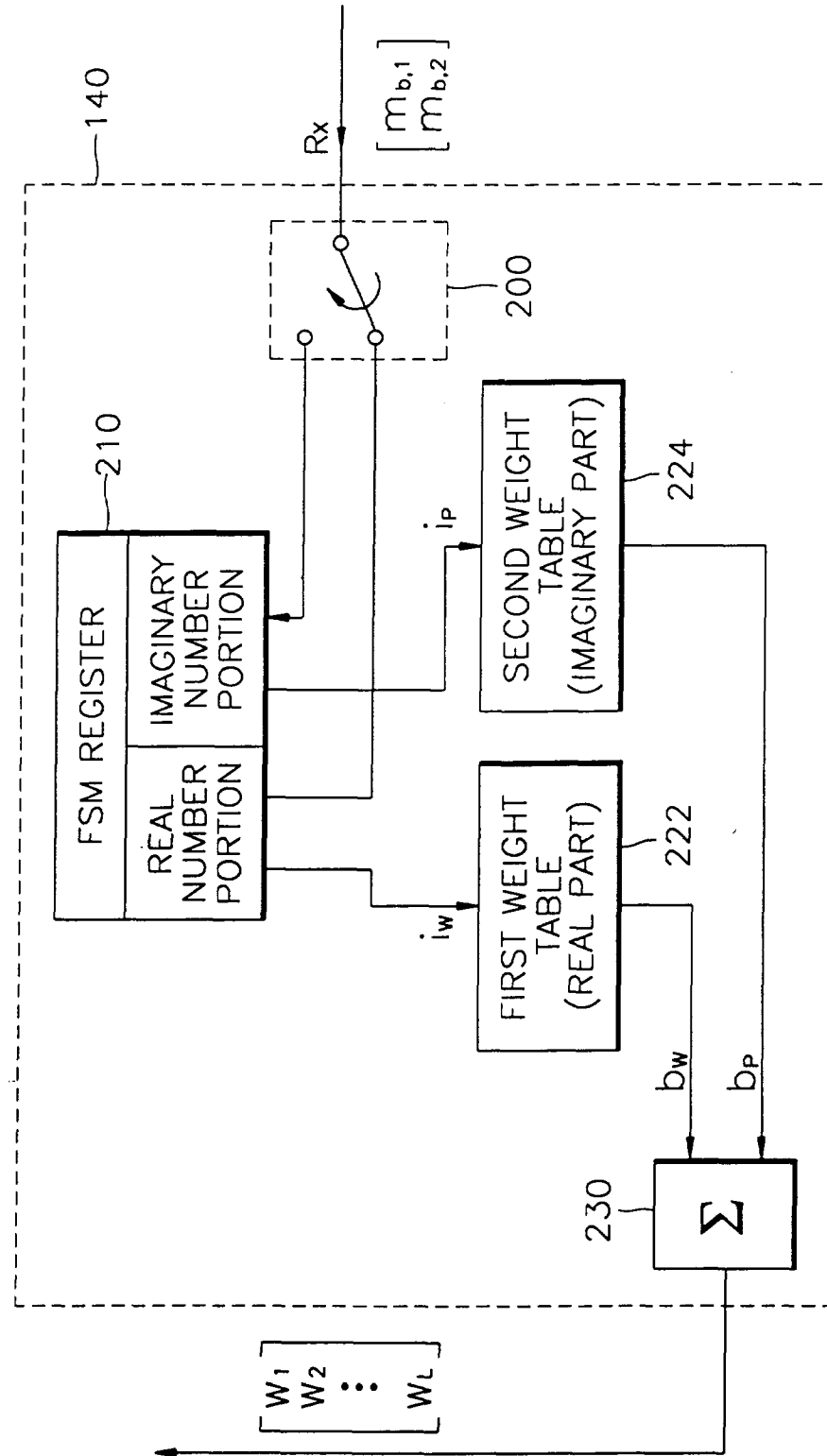


FIG. 3

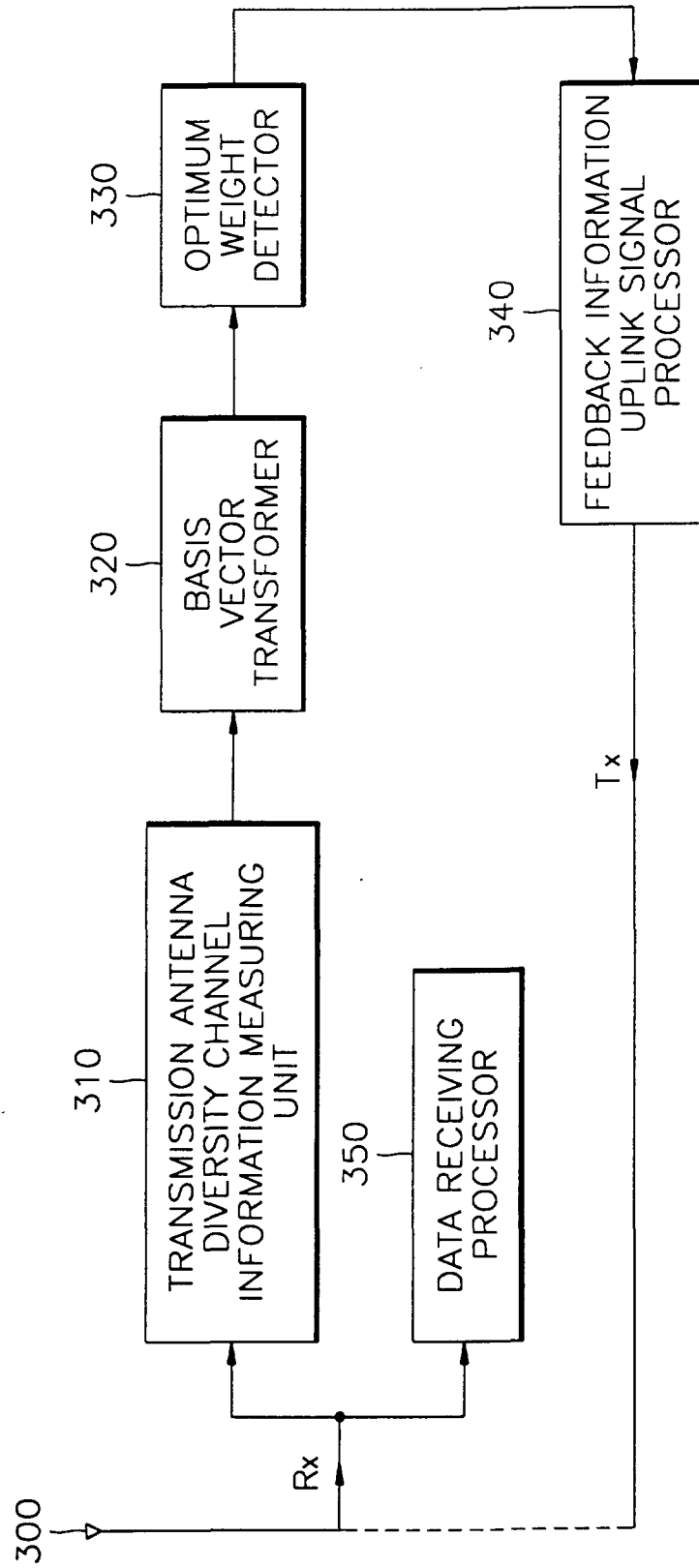
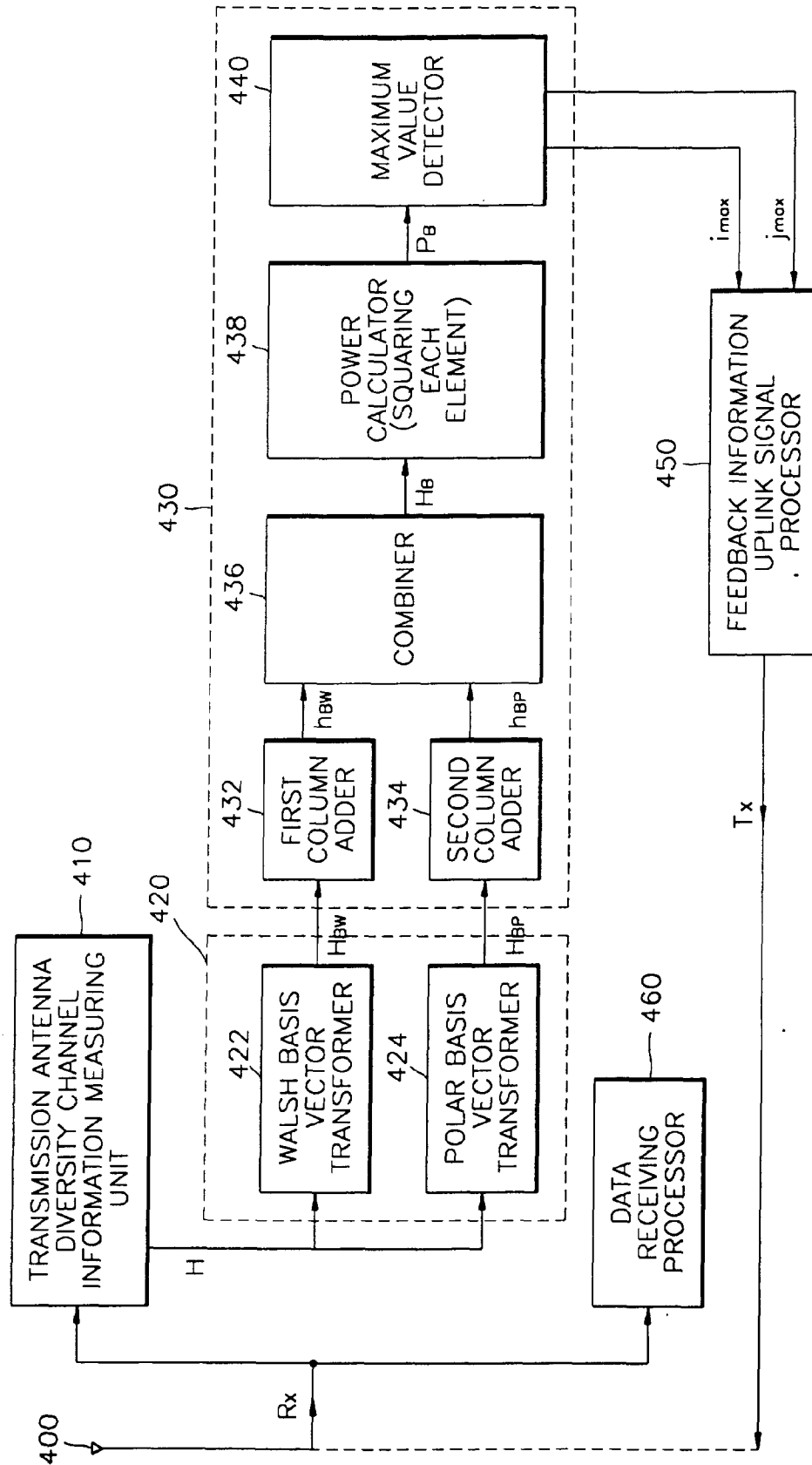


FIG. 4



**FIG. 5A**

INDEX	VECTOR
0	[ 1 1 1 1 ]
1	[ 1 -1 1 -1 ]
2	[ 1 1 -1 -1 ]
3	[ 1 -1 -1 1 ]

**FIG. 5B**

INDEX	VECTOR
0	[ -1 1 1 1 ]
1	[ 1 -1 1 1 ]
2	[ 1 1 -1 1 ]
3	[ 1 1 1 -1 ]

FIG. 5C

INDEX	VECTOR				INDEX	VECTOR			
0	$[1-j$	$1+j$	$1+j$	$1+j]$	8	$[1+j$	$1+j$	$1-j$	$1+j]$
1	$[1-j$	$-1+j$	$1+j$	$-1+j]$	9	$[1+j$	$-1+j$	$1-j$	$-1+j]$
2	$[1-j$	$1+j$	$-1+j$	$-1+j]$	10	$[1+j$	$1+j$	$-1-j$	$-1+j]$
3	$[1-j$	$-1+j$	$-1+j$	$1+j]$	11	$[1+j$	$-1+j$	$-1-j$	$1+j]$
4	$[1+j$	$1-j$	$1+j$	$1+j]$	12	$[1+j$	$1+j$	$1+j$	$1-j]$
5	$[1+j$	$-1-j$	$1+j$	$-1+j]$	13	$[1+j$	$-1+j$	$1+j$	$-1-j]$
6	$[1+j$	$1-j$	$-1+j$	$-1+j]$	14	$[1+j$	$1+j$	$-1+j$	$-1-j]$
7	$[1-j$	$-1-j$	$-1+j$	$1+j]$	15	$[1+j$	$-1+j$	$-1+j$	$1-j]$



FIG. 6

SLOT NUMBER	0	1	2	3	...	14	15
FSM	00	$b_P(0)$	$b_W(0)$	$b_P(0)$	...	$b_W(0)$	$b_P(0)$
	01	$b_W(1)$	$b_W(1)$	$b_P(1)$	...	$b_W(1)$	$b_P(1)$
	10	$b_W(2)$	$b_W(2)$	$b_P(2)$	...	$b_W(2)$	$b_P(2)$
	11	$b_W(3)$	$b_W(3)$	$b_P(3)$	...	$b_W(3)$	$b_P(3)$

FIG. 7

PARAMETER	VALUE	TYPE
NUMBER OF ANTENNAS	$N_{\text{ant}} = 4$	CONSTANT
DURATION TIME IN A SLOT	$T_{\text{slot}} = 1 / 1500 \text{ sec}$	
NUMBER OF BASIS SETS FOR BASIS ROTATION	$N_{\text{set}} = 2$	
FEEDBACK COMMAND LENGTH IN SLOTS	$N_w = 2$	
NUMBER OF SELECTION INDEX BITS PER SIGNALING WORD	$N_{\text{set}} = \log_2 N_{\text{ant}} = 2$	VARIABLE
NUMBER OF FEEDBACK INFORMATION BITS PER SLOT	$N_{\text{FBD}} = N_{\text{set}} / 1 = 2$	
FEEDBACK COMMAND UPDATE RATE	$F_{\text{up}} = (N_{\text{FBD}} / N_w) T_{\text{slot}} = 1500 \text{ Hz}$	
FEEDBACK BIT RATE	$N_{\text{FBD}} / T_{\text{slot}} = 3000 \text{ bps}$	

(19)



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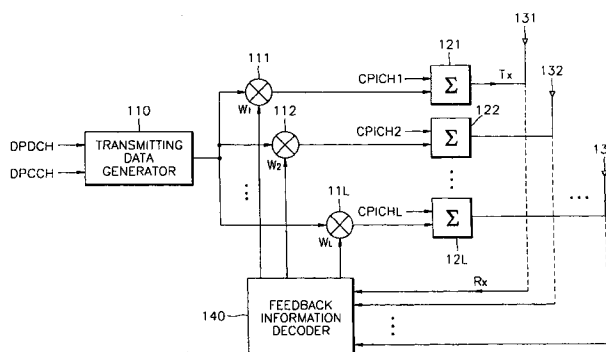
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(54) **Transmission antenna diversity method, base station and mobile station therefor**

(57) A transmission antenna diversity method, and a base station apparatus and a mobile station apparatus therefor in a mobile communication system are provided. In the transmission antenna diversity method, channel information is measured from signals received through the plurality of antennas used in the base station, and a channel information matrix is output. The channel information matrix is transformed according to a transform matrix composed of a complex basis vector

set. Reception power with respect to the plurality of antennas is calculated based on the transformed channel information matrix. Antenna selection information obtained based on the calculated reception power is transmitted to the base station as feedback information for controlling transmission antenna diversity. Therefore, power is equally distributed to transmitting antennas, excellent performance is maintained at a high speed of movement, and reliable channel adaptation is accomplished at a low speed of movement.

**FIG. 1****EP 1 175 022 A3**



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# EUROPEAN SEARCH REPORT

Application Number  
EP 01 30 6220

DOCUMENTS CONSIDERED TO BE RELEVANT			
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int.Cl.7)
A	US 5 471 647 A (PAULRAJ AROGYASWAMI J ET AL) 28 November 1995 (1995-11-28) * column 3, line 39 - column 5, line 47 * * figures 3A,3B *	7-9,11	H04B7/06
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P,A	WO 01 22619 A (MATSUSHITA ELECTRIC INDUSTRIAL CO.,LTD.) 29 March 2001 (2001-03-29) * figure 1 *	1-3,5,6, 12,14,15	H04B
The present search report has been drawn up for all claims			
Place of search <b>THE HAGUE</b>		Date of completion of the search <b>23 January 2004</b>	Examiner <b>Yang, Y</b>
<p>CATEGORY OF CITED DOCUMENTS</p> <p>X : particularly relevant if taken alone Y : particularly relevant if combined with another document of the same category A : technological background O : non-written disclosure P : intermediate document</p> <p>T : theory or principle underlying the invention E : earlier patent document, but published on, or after the filing date D : document cited in the application L : document cited for other reasons &amp; : member of the same patent family, corresponding document</p>			

EPO FORM 1503 03.82 (P04/C01)



European Patent  
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Application Number  
EP 01 30 6220

**CLAIMS INCURRING FEES**

The present European patent application comprised at the time of filing more than ten claims.

- ☐ Only part of the claims have been paid within the prescribed time limit. The present European search report has been drawn up for the first ten claims and for those claims for which claims fees have been paid, namely claim(s):
- ☐ No claims fees have been paid within the prescribed time limit. The present European search report has been drawn up for the first ten claims.

**LACK OF UNITY OF INVENTION**

The Search Division considers that the present European patent application does not comply with the requirements of unity of invention and relates to several inventions or groups of inventions, namely:

see sheet B

- ☒ All further search fees have been paid within the fixed time limit. The present European search report has been drawn up for all claims.
- ☐ As all searchable claims could be searched without effort justifying an additional fee, the Search Division did not invite payment of any additional fee.
- ☐ Only part of the further search fees have been paid within the fixed time limit. The present European search report has been drawn up for those parts of the European patent application which relate to the inventions in respect of which search fees have been paid, namely claims:
- ☐ None of the further search fees have been paid within the fixed time limit. The present European search report has been drawn up for those parts of the European patent application which relate to the invention first mentioned in the claims, namely claims:



European Patent  
Office

**LACK OF UNITY OF INVENTION  
SHEET B**

Application Number  
EP 01 30 6220

The Search Division considers that the present European patent application does not comply with the requirements of unity of invention and relates to several inventions or groups of inventions, namely:

**1. Claims: 1-6 and 12-15**

The first group is related to a closed loop transmission antenna diversity method using a complex basis vector set which serves to transform a channel information matrix. The reception power is calculated with respect to the plurality of antennas based on the transformed channel information matrix in order to control the transmission diversity. The aim is to maximize the reception power and to prevent an imbalance of power among the antennas of the base station.

**2. Claims: 7-10 and 11**

The second group is related to the selection of a complex basis vector. An antenna weight is determined for each antenna using said determined complex basis vector, then a signal based on the weight is transmitted to the mobile through a corresponding antenna.

This group aims at selecting a vector for improving the performance of diversity at a low moving speed and maintaining the performance as the moving speed increases.

The problems to be solved for the different groups of claims are different and no common features could be found between the subject-matter of present claim 1 and present claim 7. Further claim 7 does not specify what is done with the signal received at the mobile. Moreover the method claim according to present claim 1 functions also with any other feedback information decoders. The only need with regard to present claim 1 is the use of a vector as input but not necessarily the one given according to the feedback information decoder 140 (see fig. 1) or the selection according to present claim 7. Thus no common inventive link in the sense of Article 82 EPC could be found between the two groups of the present claims.

**ANNEX TO THE EUROPEAN SEARCH REPORT  
ON EUROPEAN PATENT APPLICATION NO.**

EP 01 30 6220

This annex lists the patent family members relating to the patent documents cited in the above-mentioned European search report. The members are as contained in the European Patent Office EDP file on  
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23-01-2004

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EPO FORM P0459

For more details about this annex : see Official Journal of the European Patent Office, No. 12/82